

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

TAKATA et al.

Serial No. 09/722,404

Filed: November 28, 2000

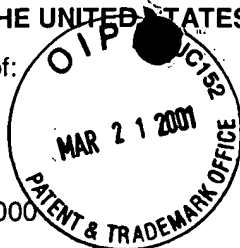
For: SECURITY SYSTEM METHOD AND APPARATUS FOR PREVENTING APPLICATION PROGRAM UNAUTHORIZED USE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Branch

Atty. Dkt. 3917-2

Date: March 21, 2001



See \$  
#3

The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☒ Record and return the attached assignment.  
☐ Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:  

Application Nos.	Country	Filing Date
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respectively.

- ☐ This application is based on the following prior provisional application(s):  

Application No.	Filing Date
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respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): ☐ attached; ☐ already filed on \_\_\_\_\_  
in U.S. Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

- ☐ The undersigned verifies that the above-identified application is identical to: filed \_\_\_\_\_, as amended on \_\_\_\_\_  
☐ This application is entitled to "Small entity" status. ☐ "Small entity" statement attached.  
☒ Also attached: Information Disclosure Statement

**Fees are attached as calculated below:**

Basic filing fee					\$	710.00
Total Effective claims	18	- 20 =	0	x \$	18.00	\$ 0.00
Independent claims	5	- 3 =	2	x \$	80.00	\$ 160.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)						\$ 0.00
<b>FILING FEE</b>						\$ 870.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)						
						\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted						\$ 130.00
<b>FIRST SUBTOTAL</b>						\$ 1,000.00
If "small entity," enter half (1/2) of subtotal and subtract						-\$ 0.00
<b>SECOND SUBTOTAL</b>						\$ 1,000.00
Assignment Recording Fee (\$40.00)						\$ 40.00
<b>TOTAL FEE ENCLOSED</b>						\$ 1,040.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
Arlington, Virginia 22201-4714  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100  
MEN:mg

**NIXON & VANDERHYTE P.C.**

By Atty: Mark E. Nusbaum, Reg. No. 32,348

Signature: \_\_\_\_\_

*Mark E. Nusbaum*

0308#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TAKATA et al.

Atty. Ref.: 3917-2

Serial No. 09/722,404

Group:

Filed: November 28, 2000

Examiner:

For: SECURITY SYSTEM METHOD AND APPARATUS FOR  
PREVENTING APPLICATION PROGRAM  
UNAUTHORIZED USE

\* \* \* \* \*

May 1, 2001

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE TO NOTICE OF INCOMPLETE NONPROVISIONAL  
APPLICATION**

The April 11, 2001 Notice of Incomplete Nonprovisional Application incorrectly indicates that the application was deposited without drawings. Drawings were filed with the application on November 28, 2000. Enclosed is a copy of the date-stamped mailroom receipt identifying that 7 sheets of drawings were attached. Also enclosed is another copy of the drawings. Therefore, this application should receive a November 28, 2000 filing date.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: Mark E. Nusbaum

Mark E. Nusbaum

Reg. No. 32,348

MEN:mg

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/722,404	11/28/2000	Hidekazu Takata	3917-2

CONFIRMATION NO. 4573

## FORMALITIES LETTER



\*OC000000005958993\*

Vixen & Vanderhye PC  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201-4714

Date Mailed: 04/11/2001

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

*A copy of this notice MUST be returned with the reply.*

LT-6

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



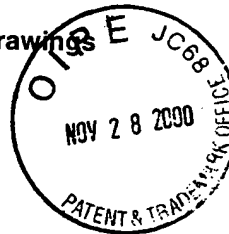
New Patent Application  
Serial No.: (To Be Assigned)  
Inventor/s: TAKATA et al.  
Title: SECURITY SYSTEM METHOD AND APPARATUS  
FOR PREVENTING APPLICATION PROGRAM  
UNAUTHORIZED USE

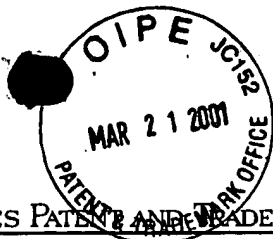
Atty: Mark E. Nusbäum

Date: November 28, 2000

C#/M#: 3917-2

26 Pages Specification & Claims  
1 Abstract  
18 Claims  
7 Sheets of Drawings  
\$0 Fee (Check)  
Other: Coversheet





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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/722,404	11/28/2000	Hidekazu Takata	3917-2

Nixon & Vanderhye PC  
1100 North Glebe Road 8th floor  
Arlington, VA 22201

## FORMALITIES LETTER



\*OC000000005839252\*

03/02/2001 SDIRETA1 00000111 09722404

Date Mailed: 03/08/2001

01 FC:101 710.00 OP  
02 FC:102 160.00 OP  
03 FC:103 130.00 OP

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

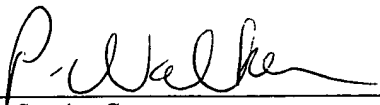
The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(i)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above. The items noted below must be filed no later than **TWO MONTHS FROM THE FILING DATE ACCORDED THIS APPLICATION.**

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$160.
  - \$160 for 2 independent claims over 3 .
- The balance due by applicant is \$ 870.00.

*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE